PATENT
Attorney Docket No. 2151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
NOVIK et al.

Group Art Unit: 2624

Serial No. 10/663,410

Examiner: Not Assigned

Filed: September 15, 2003

For: Query Trees Including or Nodes for Event Filtering

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved. Note that copies of the references are not being submitted. All references were previously cited in the parent application Serial No. 09/517,895, filed March 3, 2000.

The Supplemental Information Disclosure Statement is believed to be filed before the mailing date of a first Office action on the merits. Accordingly, no fee is owed by applicant. If, however, an Office action has been issued, the Patent Office is hereby authorized to charge any underpayments associated with this submission to Deposit Account 50-1618.

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CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT and PTO form 1449 are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date: April 27, 2004 By: Albert S. Michalik

Albert S. Michalik

2151 IDS Statement.doc

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 2151	SERIAL NO. 10/663,410
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT NOVIK et al.	
		FILING DATE September 15, 2003	GROUP 2624

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,438,618 B1	Aug. 20, 2002	Lortz et al.			
	AB	6,493,703	Dec. 2002	Knight et al.			
	AC	5,666,528	Sept. 1997	Thai, Lam H.			
	AD	6,405,191 B1	June 2002	Bhatt et al.			
	AE	6,366,926 B1	April 2002	Pohlmann et al.			
	AF	6,253,195	June 2001	Hudis et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO*

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AG	Ehab S. Al Shaer et al., A Survey of Event Filtering Mechanisms for Dynamic Multi-Point Application, Sept. 11 1996.
	AH	Ehab S. Al Shaer et al., High Performance Event Filtering for Distributed Dynamic Multi-Point Applications: Survey and Evaluation, 1997.
	AI	William Ford, Data Structure With C++, 1997.